

## Annexure A

### Performance Plan

#### Mopani District Municipality



The *main parts* to this Performance Plan are:

1. Performance Plan Overview
2. Strategy Map
3. A statement about the *Purpose* of the Position;
4. A performance scorecard per Key Performance Area (KPA's), IDP Objectives, Performance Indicators (KPIs), KPI Weightings (per Objective), Targets (quarterly), evidence required
5. Competencies
6. Summary Scorecard
7. Rating Scales
8. Assessment Process
9. Approval of Personal Performance Plan

**Name:** Mr Thuso Lavhelesani Nemugumoni

**Position:** Director Community Services

**Accountable to:** Municipal Manager

**Plan Period:** 01.07.2012 – 30.06.2013

## PERFORMANCE PLAN OVERVIEW

### **1. Purpose**

The performance plan defines the Council's expectations of the Director's performance agreement to which this document is attached and Section 57 (5) of the Municipal Systems Act, which provides that performance objectives and targets must be based on the key performance indicators as set in the Municipality's Integrated Development Plan (IDP) and as reviewed annually.

### **2. Objects of Local Government**

The following objects of local government will inform the Director's performance against set performance indicators:

- 2.1 Provide democratic and accountable government for local communities.
- 2.2 Ensure the provision of services to communities in a sustainable manner.
- 2.3 Promote social and economic development.
- 2.4 Promote a safe and healthy environment.
- 2.5 Encourage the involvement of communities and community organisations in the matters of local government.

### **3. Key Performance Areas**

The following Key Performance Areas (KPA's) as outlined in the Local Government: Municipal Planning and Performance Management Regulations (2001) inform the strategic objectives listed in the table below:

- 3.1 Municipal Transformation and Organisational Development
- 3.2 Infrastructure Development and Service Delivery
- 3.3 Local Economic Development (LED)
- 3.4 Municipal Financial Viability and Management
- 3.5 Good Governance and Public Participation
- 3.6 Spatial Rationale

### **4. BSC Perspectives**

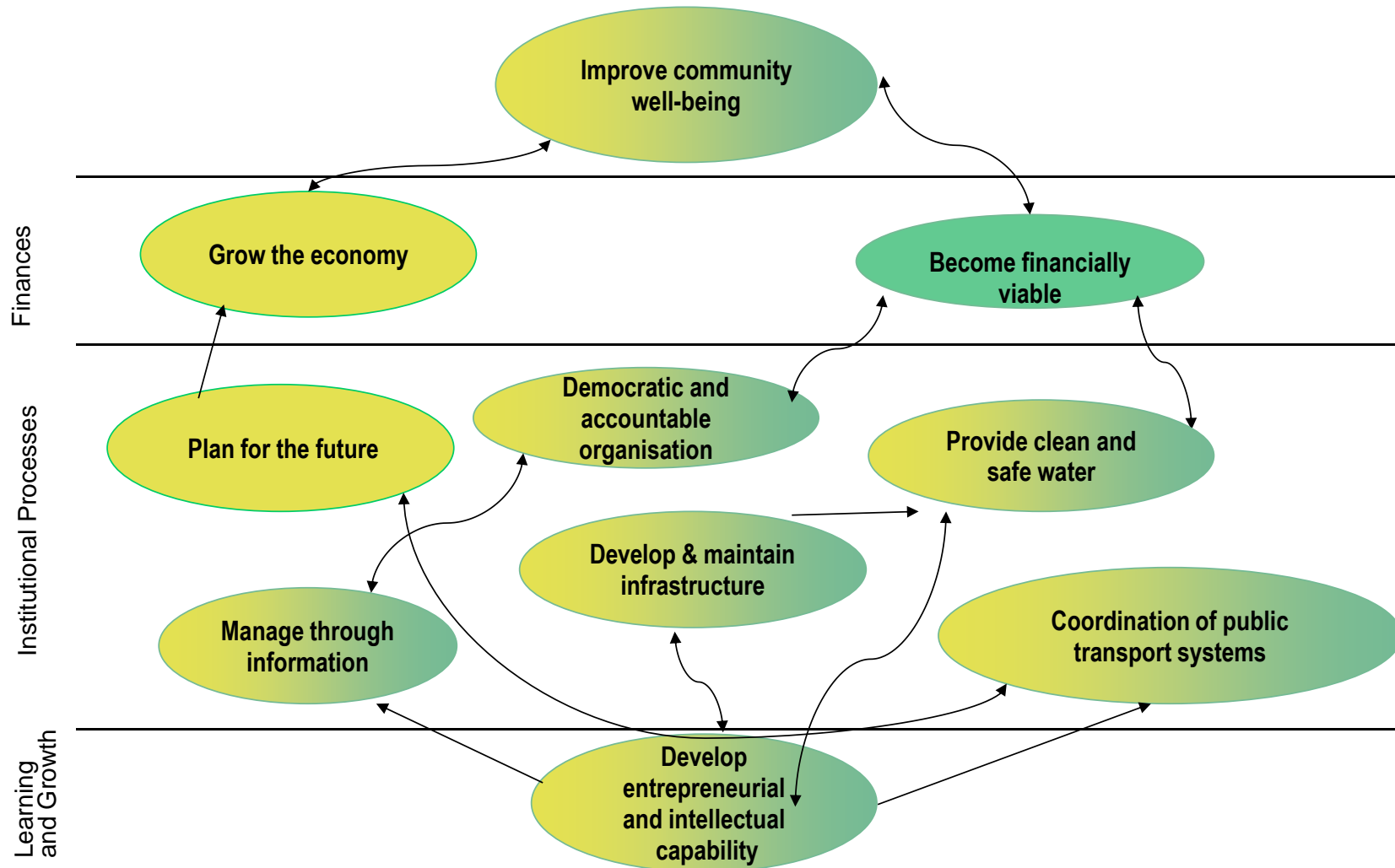
The BSC Methodology was used for the development of the Performance Management System and will read as follows:

- 4.1 Community
- 4.2 Financial
- 4.3 Institutional Processes
- 4.4 Learning and Growth

### **5. Strategic Objectives**

The Key Performance Indicators are devised according to the IDP Strategic Objectives to be achieved as depicted on the next page

**To be the food basket of Southern Africa and the tourism destination of choice**



<b>STRATEGIC VISION</b>
<b>“To be the Food Basket of Southern Africa and the Tourism Destination of choice”</b>
<b>STRATEGIC MISSION</b>
- To provide integrated sustainable equitable services through democratic responsible and accountable governance
- Promoting the sustainable use of resources for economic growth to benefit the community
<b>JOB PURPOSE</b>
<b>Position Goal</b>
Mopani District to be an area where communities are healthy, responsible, literate, experiencing safety, where the environment is protected and sustained for positive investment and economic growth and Council assets protection
<b>Position Purpose</b>
Community Services Director is required to manage, lead and direct the MDM in co-ordination of Environmental Health Services, Sports Arts and culture, Education, Safety and security, Housing, Waste management, Fire and Health Services programmes. The incumbent must ensure the IDP is managed according to the directorate's performance management requirements
<b>The Director Community Services is accountable and responsible for amongst others:</b>
The management of Directorate's administration in accordance with Municipal legislation and other legislation applicable to the Municipality, includes management, discipline and development of staff
Through Environmental management, ensuring clean, safe and quality water, pollution free environment and the appropriate disposal of the dead and refuse
Through Environmental Health Management, ensuring healthy and safe premises
Co-ordination of Health programmes ensuring healthy communities, productive workforce and economic growth
Manage the provision of fire and rescue services in saving lives, the prevention of fires, safeguarding of property, humans and animals
The promotion of Sports, Arts and Culture for purposes of wellness, preserved and diverse culture and a society with high morals
The endorsement of literacy, economic growth, enrichment of minds, job security and safe schools
Facilitation of a crime free environment
Monitoring the provision of adequate housing and the restoration of dignity
The implementing the municipality Integrated Development Plan (IDP) and responsible to the needs of the local community

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Financial Viability	Become financially viable	Budget and Expenditure Management	% Operational budget variance for directorate	100%	10%	10%	10%	10%	10%	10%	Financial reports
			% Capital budget actually spent on capital projects identified for financial year i.t.o. IDP	5%		25%	50%	75%	100%	100%	Financial reports
		Revenue Management	% Revenue generated against Fire services rendered	20%		100%	100%	100%	100%	100%	Financial reports
Good Governance and Public Participation	Democratic and accountable organisation	Cooperative Governance	% Social Cluster resolutions related to MDM implemented within timeframes	5%	90%	100%	100%	100%	100%	100%	Minutes
			# of Social Cluster meetings held successfully	5%	9	3	6	9	12	12	Minutes
			% Moral Regeneration Forum resolutions related to MDM implemented	5%	90%	100%	100%	100%	100%	100%	Minutes
			% District Housing Forum resolutions implemented within timeframes	5%	0%	100%	100%	100%	100%	100%	Minutes
		Fleet Management	# Fleet vehicle maintenance reports related to directorate compiled and submitted to R&T	5%		3	6	9	12	12	Reports

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Good Governance and Public Participation	Democratic and accountable organisation	Governance and Administration	% findings raised by the AG related to directorate against prior year	10%	70%	Not applicable this quarter	10%	Not applicable this quarter	Not applicable this quarter	10%	AG Report
			%Identified risks addressed within the directorate	10%	33%	100%	100%	100%	100%	100%	Risk report
			% issues raised by Internal Audit related to directorate	10%	50%	10%	0%	0%	0%	10%	Internal Audit report
			% Recommendations by the MPAC implemented and reported	10%	100%	100%	100%	100%	100%	100%	Reports

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Good Governance and Public Participation	Democratic and accountable organisation	Governance and Administration	% Executive Management meetings attended and decisions implemented within timeframes	5%	100%	100%	100%	100%	100%	100%	Minutes
			# Anti-corruption action plan related to Directorate developed and implemented	10%	0	1	1	1	1	1	Action plan and reports
			% Audit Committee decisions related to Directorate implemented	10%		100%	100%	100%	100%	100%	Resolution register
			% Risk register related to Directorate reviewed	10%	100%	100%	Not applicable this quarter	Not applicable this quarter	Not applicable	100%	Risk register
			# Risk reports submitted on time	5%	12	3	6	9	12	12	Risk reports

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Service Delivery	Develop and maintain infrastructure	Housing	# Housing Beneficiaries workshops held year to date	25%	4	1	2	3	4	4	Minutes / reports
			# Housing Development plan approved by Management and implemented	25%	0	1	1	1	1	1	Plan and report
		Waste Management	% Household with access to basic waste removal	25%	48%	50%	60%	70%	75%	75%	Database statistics
			% Local municipalities with legal landfill sites	25%	25%	50%	70%	80%	100%	100%	Certificates



Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Service Delivery	Effective coordination of public transport systems	Public transport	# Integrated Transport plan reviewed and approved by Management	60%	1	Not applicable this quarter	1	Not applicable this quarter	Not applicable this quarter	1	Plan and minutes
			% Incidences of conflict resolved (Number incidences of conflict resolutions facilitated / Number incidences that arise as Percentage)	40%	0%	100%	100%	100%	100%	100%	Register and minutes of meetings
	Improve Community well-being	Community Health	Percentage budget spent on HIV and AIDS support ytd	5%	94.46%	25%	50%	75%	100%	100%	Financial reports
			Percentage HIV and AIDS ARV sites monitored complying to standards	5%	100%	100%	100%	100%	100%	100%	Register
			% health complaints resolved (facilitated with relevant department) within 72 hours	5%	100%	100%	100%	100%	100%	100%	Register
	Improve Community well-being	Community Health	Number of Aids Council meetings held successfully year to date	5%		1	2	3	4	4	Minutes
			Number of Health Council Meetings held	5%		1	2	3	4	4	Minutes

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
		Education	Number of education summit held (ytd)	5%	1	Not applicable this quarter	Not applicable this quarter	1	1	1	Minutes
		Water Services	% Contributions towards Blue Drop rating	10%	50%	100%	100%	100%	100%	100%	Inspection report on Waterborne deseases
			% Contribution towards Green Drop rating	10%	50%	100%	100%	100%	100%	100%	Inspection report on Waterborne deseases
		Environmental Health Management	% Food control committee resolutions related to MDM implemented within timeframes	5%	95%	100%	100%	100%	100%	100%	Register
			% Food outlets inspected and compliance letters issued	5%	100%	100%	100%	100%	100%	100%	Register
		Environmental Management	# Air quality monitoring stations established year to date	5%	0	Not applicable this quarter	1	1	2	2	Minutes

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Service Delivery	Improve Community well-being	Environmental Management	# Air quality management framework developed and approved by Council	10%	0	1	Not applicable this quarter	not applicable this quarter	Not applicable this quarter	1	Framework and minutes
			# Baseline Air quality assessment conducted	10%	2	2	5	7	10	10	Assessment report
			# Emission inventory developed and approved by Management	10%	0	1	Not applicable this quarter	Not applicable this quarter	Not applicable this quarter	1	Inventory and minutes
			#Air quality management system developed and approved by Council	5%	0	1	Not applicable this quarter	Not applicable this quarter	Not applicable this quarter	1	System report and minutes
			% Support given to K2C Biosphere	10%	0%	100%	100%	100%	100%	100%	Reports
			# Reports on K2C Biosphere submitted to Management and LEDET on time	10%	0	1	2	3	4	4	Reports
			# Wetlands identified and protected	10%	1	1	2	3	5	5	Reports
		Fire Services	% Emergency incidents arrived at within 30 minutes from dispatch	5%	100%	80%	80%	80%	80%	80%	Register
			# Veldfire management strategy deveoped and approved	10%	0%	1	Not applicable this quarter	Not applicable this quarter	Not applicable this quarter	1	Strategy document and minutes

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
			# Public Education sessions conducted for schools within the district	10%	19	5	10	18	26	26	Reports
			# Fire ispection conducted	10%	860	250	500	750	1000	1000	Reports
			# Compliance certificate issued	10%	20	5	10	15	20	20	Reports
			# Building Plans processed within 24 hours of receipt	10%	0	50	100	150	200	200	Register
		Sport, Arts and Culture	Number Sport, arts and culture events coordinated year to date	5%	25	3	5	5	5	5	Reports
		Sport, Arts and Culture	# Sport events coordinated	5%	4	1	2	3	4	4	Reports
		Sport, Arts and Culture	# Cultural events coordinated	5%	1	Not applicable this quarter	1	Not applicable this quarter	2	2	Reports
		Sport, Arts and Culture	# Arts events coordinated	5%	2	Not applicable this quarter	Not applicable this quarter	1	2	2	Reports
Service Delivery	Improve Community well-being	Sport, Arts and Culture	# Sports management plan developed and approved by Council	5%	0	1	Not applicable this quarter	Not applicable this quarter	Not applicable this quarter	1	Plan and minutes

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
			# District Sports Council Meetings convened and attended	5%	4	1	2	3	4	4	Minutes
	Provide clean and safe water	Clean and safe water provision	% Water-borne diseases cases resolved within 72 hours of reporting	100%	100%	100%	100%	100%	100%	100%	Register
			% Contribution towards Blue Drop rating	10%	75%	100%	100%	100%	100%	100%	Reports
			% Contributions towards Green Drop rating	10%	75%	100%	100%	100%	100%	100%	Reports
Spatial Rationale	Plan for the future	Integrated Development Planning	% Contributions to the IDP review process	100%	100%	50% (Analysis and strategies related to Directorate)	75% (Projects)	100% (Integration - summary of integrated plans)	Not applicable this quarter	100%	Analysis, Projects, Strategies and summary of integrated plans related to Directorate

Key Performance Indicators											
KPA	IDP Objective	Programme	Institutional KPI	KPI Weightin	Baseline	2012/13 Quarterly Targets				Annual Target 2012-2013	Evidence Required
						1st Q	2nd Q	3rd Q	4th Q		
Transformation and Organisational Development	Develop entrepreneurial and intellectual capability	Human Resource Management	% Inputs on the review of the organogram submitted to Corporate Services by end January	40%	100%	Not applicable this quarter	Not applicable this quarter	100%	Not applicable this month	100%	Inputs and memo
			# staff at lower levels taken through formal qualification within area of operation	20%	0	3	Not applicable this quarter	Not applicable this quarter	Not applicable this quarter	3	Training register
			# Fire personnel taken through In-Service-Training	20%	0	5	15	25	30	30	Reports
			% Vacancy rate within Directorate	5%		10%	5%	2.5%	0%	0%	Register
			% LLF issues related to Directorate resolved within specified timeframe	40%	75%	100%	100%	100%	100%	100%	Register
			# Performance reviews and evaluations of the employees within Directorate conducted year to date	20%	0	1	2	3	4	4	Evaluation reports

Competencies		
	Definitions	Weighting
Strategic Capability and Leadership	Must be able to provide vision, set the direction for the municipality and inspire others in order to deliver on the municipality's mandate	10%
Programme and Project Management	Must be able to plan, manage, monitor and evaluate specific activities in order to ensure that policies are implemented and that Local Government objectives are achieved	10%
Financial Management	Must be able to know, understand and comply with the Municipal Finance Management Act No 56 of 2003.	5%
Change Management	Must be able to initiate and support municipal transformation and change in order to successfully implement new initiatives and deliver on service delivery commitments	10%
Knowledge Management	Must be able to promote the generation and sharing of knowledge and learning in order to enhance the collective knowledge of the municipality	5%
Service Delivery Innovation	Must be able to explore and implement new ways of delivering services that contribute to the improvement of municipal processes in order to achieve municipal goals	15%
Problem Solving and Analysis	Must be able to systematically identify, analyse and resolve existing and anticipated problems in order to reach optimum solutions in a timely manner	15%
People and Diversity Management	Must be able to manage and encourage people, optimise their outputs and effectively manage relationships in order to achieve the municipality's goals	10%
Client Orientation and Customer Focus	Must be willing and able to deliver services effectively in order to put the spirit of customer service (Batho Pele) into practice	10%
Communication	Must be able to exchange information and ideas in a clear and concise manner appropriate for the audience in order to explain, persuade, convince and influence others to achieve the desired outcomes	5%
Accountability and Ethical Conduct	Must be able to display and build the highest standard of ethical and moral conduct in order to promote confidence and trust in the municipality	5%
<b>Section Total:</b>		<b>100%</b>

\* These Competencies are dependent on final promulgation of the Guidelines in terms of the Regulations

Summary Scorecard								
Position Outcomes/Outputs	KPA Weighting (%)	IDP Objective	Objective Weighting (%)	Assessment Weighting	1st Assessment	2nd Assessment	Total Score	Comment
Key Performance Areas				80%				
Financial Viability	5%	Become financially viable	100%					
Good Governance and Public Participation	30%	Democratic and accountable organisation	100%					
		Manage through information	n.a.					
Local Economic Development	n.a.	Grow the economy	n.a.					
Service Delivery	50%	Improve Community well-being	60%					
		Develop and maintain infrastructure	20%					
		Provide clean and safe water	10%					
		Coordination of public transport services	10%					
Transformation and Organisational Development	10%	Develop entrepreneurial and intellectual capability	100%					
Spatial Rationale	5%	Plan for the future	100%					
Competencies				20%				



The assessment of the performance of the Employee will be based on the following rating scale for KPA's and CMCs:				
5	4	3	2	1
Outstanding Performance	Performance Significantly Above Expectations	Fully Effective	Not Fully Effective	Unacceptable Performance
Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.	Performance does not meet the standard expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

## Performance Assessment Process

The following steps will be followed to ensure a fully participative and compliant performance assessment process is adhered to.

1. Performance Assessment:

1.1. Formal assessment between employee and employer will take place at least twice a year to measure the performance of the employee against the agreed performance targets for the half yearly and yearly assessments respectively.

1.2. Progress against the targets will be captured in preparation for the assessments.

1.3. Scores of 1-5 will be calculated based upon the progress against targets.

1.4. KPI's and targets are audited and copied to the Performance Plans before assessment date.

1.5. The employer must keep a record of the mid-year assessment and annual assessment meetings.

2. The employee being assessed will compile a portfolio of evidence confirming the level of performance achieved for a given assessment period and made available to the Panel on request. One independent person may be assigned to act as an Observer.

3. The process for determining Employee ratings are as follows:

3.1. The employee to motivate for higher ratings where applicable.

3.2. The panel to rate the achievement for the KPI's on a 5 point scale. Decimal places can be used.

3.3. The panel to rate the employee's core competency requirements (CCR) on the 5 point scale. Decimal places can be used.

3.4. The panel scores are averaged to derive a total score per KPI /CCR. Overall scores are calculated by taking weightings into account where applicable.

3.5. The final KPA's rating will account for 80% of the final assessment total. The CCR's are to account for 20% of the final assessment total.

4. The five point rating scale referred to in regulation 805 correspond as follows:

Rating:	1	2	3	4	5
% Score:	0-66	67-99	100-132	133-166	167

5. The assessment rating calculator is used to calculate the overall % score for performance.

6. The half-year assessment rating can be used in combination with the Annual Performance Assessment to derive a final Annual rating score.

7. The performance bonus percentages described in the performance agreement will be calculated on a sliding scale of the all inclusive remuneration package as indicated in table below:

% Rating Over Performance	% Bonus
130-149%	5-9%
150% and above	10-14%

8. The Personal Development Plan (PDP) can be reviewed after the performance assessment had been finalised in case where more clarity has been established on what the essential development needs for the relevant person will be.

9. The results of the performance assessment will be submitted to the performance audit committee for final approval of the assessment/s.

10. The performance assessment results of the Municipal Manager will also be submitted to the MEC responsible for Local Government in the relevant Province.

### Approval of the Personal Performance Plan

The process followed ensures individual alignment to the strategic intent of the institution and give clear direction on what needs to be achieved through a self-directed approach to execute on the objectives, to build sound relationships, to develop human capital and to strengthen the organisation through excellent performance. This plan has derived from intense workshopping to ensure integration, motivation and self-direction. The employer and employee both have responsibilities and accountabilities in getting value from this plan. Neither party can succeed without the support of the other.

Undertaking of the employer / superior	Undertaking of the employee
On behalf of my organisation, I undertake to ensure that a work environment conducive for excellent employee performance is established and maintained. As such, I undertake to lead to the best of my ability, communicate comprehensively, and empower managers and employees. Employees will have access to ongoing learning, will be coached, and will clearly understand what is expected of them. I herewith approve this Performance Plan.	I herewith confirm that I understand the strategic importance of my position within the broader organisation. I furthermore confirm that I understand the purpose of my position, as well as the criteria on which my performance will be evaluated twice annually. As such, I therefore commit to do my utmost to live up to these expectations and to serve the organisation, my superiors, my colleagues and the community with loyalty, integrity and enthusiasm at all times. I hereby confirm and accept the conditions to this plan.
Signed and accepted by the Municipal Manager on behalf of Council:	Signed and accepted by the Employee:
<b>DATE:</b>	<b>DATE:</b>